

# DISCLOSURE DIVISION

- ☒ **WAIVER REQUEST**  
☐ **ANSWER**  
☐ **RECONSIDERATION REQUEST**  
☐ **UNTIMELY**

**DATE:** 7/2/2021

**DOCKET #:** 2021-573

Ashley Wimberley, Director  
Disclosure Division

## FILER INFORMATION

Name: Mr. Charles Wally McMakin  
Address: 2052 Estates Road, Baton Rouge, LA 70808  
Office/Position: Highway Safety Commission  
# of Disclosures/Amendments Filed with Agency: 4  
Years Covered: 2017-2020  
Final Report: No

## REPORT INFORMATION

Name of Report: Tier 2.1 Annual Personal Financial Disclosure covering calendar year 2019  
Report ID: PFD21002830  
Original Due Date: 7/6/2020  
NOD Received: 3/17/2021 - Signed by: Mail Carrier SB 8016 - C19  
PFD/Answer Due Date based on NOD: 3/26/2021  
PFD/Answer Filed: 4/8/2021

## LATE FEE INFORMATION

Amount of Late Fee: \$650  
Days late from receipt of NOD: 13  
Total days late from initial due date: 276  
Late Fee Order Received: 5/8/2021  
Payment/Waiver Request Due Date: 5/28/2021  
Waiver Request Received: 5/10/2021

## COMMENTS:

Charles W. "Wally" McMakin is requesting a waiver because he was unaware that he had not filed his 2019 PFD. He stated that he caught the Coronavirus in 2020 and in 2021. He has since complied with all requirements. This is Mr. McMakin's first late fee assessment.

## OTHER LATE FEE INFORMATION

### Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

### Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

May 5, 2021

Louisiana Board of Ethics  
P.O. Box 4368  
Baton Rouge, LA 70821

ATTN: Louisiana Board of Ethics

Dear Board of Ethics,

I am a member of the Highway Safety Commission. I like most people were caught up in COVID for 2020 and part of 2021. I was unaware that I had not submitted my 2019 financial statements.

I filed my 2019 and 2020 financial statements around the same time and have filed my 2021 statement as well. I am asking for my late fee for the 2019 year statement be waived.

Thank you for your understanding.

Sincerely,

A handwritten signature in dark ink, appearing to read "Charles W. McMakin", with a long, sweeping horizontal stroke extending to the right.

Charles W "Wally" McMakin

ETHICS BOARD REC'D  
MAY 11 '21 PM2:12



STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**  
P. O. BOX 4368  
BATON ROUGE, LA 70821  
(225) 219-5600  
FAX: (225) 381-7271  
1-800-842-6630  
[www.ethics.la.gov](http://www.ethics.la.gov)

**CERTIFIED MAIL**

**NO. 70200090000054002063**

**RETURN RECEIPT REQUESTED**

March 16, 2021

Charles Wally McMakin  
2052 Estates Road  
Baton Rouge, LA 70808

**RE: NOTICE OF DELINQUENCY - FAILURE TO FILE**  
**Statement covering 2019 (originally due on June 8, 2020)**  
**Highway Safety Commission**

Dear Mr. McMakin:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of our records indicates that we have not received your Personal Financial Disclosure Statement.

**You have 7 business days from the date of receipt of this Notice** to file your Tier 2.1 Personal Financial Disclosure Statement covering 2019, which was originally due on June 8, 2020, or to submit an Answer explaining why you feel you are not required to file a Personal Financial Disclosure Statement. Failure to file a Personal Financial Disclosure Statement or an Answer within the 7 business days will subject you to an automatic late fee of \$50 per day up to a maximum of \$1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

The form for the Tier 2.1 Personal Financial Disclosure Statement (Form 417) is available on the Louisiana Board of Ethics website at [www.ethics.la.gov](http://www.ethics.la.gov). If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,

Lisa Ford  
Program Compliance Officer

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

ETHICS BOARD REC'D  
MAR 19 '21 PM 1:53

Charles Wally McMakin  
2052 Estates Road  
Baton Rouge, LA 70808



2063

2. Article number (transfer from service label)

7020 0090 0000 5400 2063

PS Form 3811, JULY 2015 PSN 7530-02-000-9053

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X

SB 80116

☒ Agent  
☐ Addressee

B. Received by (Printed Name)

C-19

C. Date of Delivery

3-17

D. Is delivery address different from item 1? ☐ Yes  
If YES, enter delivery address below: ☐ No

LF

2019

Service Type

☐ Adult Signature

☐ Adult Signature Restricted Delivery

☒ Certified Mail®

☐ Certified Mail Restricted Delivery

☐ Collect on Delivery

☐ Collect on Delivery Restricted Delivery

☐ Insured Mail

Restricted Delivery

☐ Priority Mail Express®

☐ Registered Mail™

☐ Registered Mail Restricted Delivery

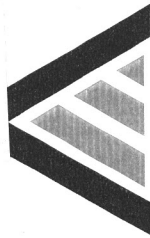
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☒ Signature Confirmation™

☐ Signature Confirmation Restricted Delivery

Domestic Return Receipt

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**ALTUS**

WEALTH MANAGEMENT

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Baton Rouge, LA 70809



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MAILED FROM ZIP CODE 70809

**Altus Wealth Management  
4208 Bluebonnet Blvd.  
Baton Rouge, LA 70809**

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**Louisiana Board of Ethics  
P.O. Box 4368  
Baton Rouge, LA 70821**